

DCRI COMMERCIAL RELATIONSHIPS TRACKING FORM

Date: 2003 through present (updated April 1, 2008)

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Company	(1) A Research Grant or Contract From This Company Partially Supports My University Salary	(2) A Research Grant or Contract From This Company Supports My Research Projects	(3) Educational Activities or Lectures for This Company Generate Revenue for Duke University		(4) Consulting or Other Services for This Company Generate Personal Income		(5) I Have Equity in This Company		Comments
			< \$10K	≥ \$10K	< \$10K	≥ \$10K	≥ \$10K	> 1%	
Bristol-Myers Squibb Company	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Within past 5 years.
Inspire Pharmaceuticals, Inc	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Current or expected.
Johnson & Johnson (Ortho Biotech Products, LP)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Within past 5 years.
Novartis	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Current or expected.

Column Explanations:

- (1) A research grant from this organization funds my effort for projects in which I serve as an investigator or other member of the study team. A check in this column denotes that I am involved in such a research contract. The company makes payments to Duke University for the work performed under these contracts. These funds support a portion of my salary as a faculty member, reflecting a percent effort on the project.
- (2) A research grant from this company supports expenses associated with projects at Duke University that are under my direction.
- (3) I provide educational activities or deliver occasional lectures that generate revenue from this company. The company pays all revenues from these activities to the University. I take none of the the revenues for personal income. The two columns represent annual payments < \$10 000 or ≥ \$10 000 from this company.
- (4) I receive personal income from this company outside of my University salary for consulting or other services. The two columns represent annual payments < \$10 000 or ≥ \$10 000 from this company.
- (5) I, or an immediate member of my family, have equity in this company (with shareholder voting privileges) of ≥ \$10 000 or ≥ 1%.