

## DCRI COMMERCIAL RELATIONSHIPS TRACKING FORM

Year(s): February 2004-present (updated February 4, 2009)  
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Monetary amounts are on an annual basis.

Company	(1) A Research Grant or Contract From This Company Partially Supports My University Salary	(2) A Research Grant or Contract From This Company Supports My Research Projects	(3) Educational Activities or Lectures for This Company Generates Revenue for Duke		(4) Consulting or Other Services for This Company Generates Personal Income			(5) I Receive Significant Personal Royalties From This Company	(6) I Have Equity in This Company	
			< \$10K	≥ \$10K	< \$10K	\$10–25K	> \$25K		> \$10K	> 1%
Allergan, Inc	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Eli Lilly and Company	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GlaxoSmithKline, PLC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Medtronic, Inc	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Merck & Co, Inc	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Johnson & Johnson, Inc (Ortho Biotech)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Novartis	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
OSI Eyetech	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pfizer Inc	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sanofi-Aventis US LLC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Column Explanations:

- (1) A research grant or contract from this organization funds my effort on projects for which I serve as an investigator or other member of the project team. A check in this column denotes that I am involved in such a research grant or contract. The organization makes payments to Duke University for the work performed under these grants or contracts. These funds support a portion of my salary, reflecting a percent effort on the project.
- (2) A research grant or contract from this organization supports expenses associated with projects at Duke University that are under my direction.
- (3) I provide educational activities or deliver occasional lectures that generate revenue from this organization. The organization pays all revenues from these activities to Duke University. I take none of the revenues for personal income. The 2 columns represent annual payments of more or less than \$10,000 per year from this organization.
- (4) I receive personal income from this organization outside of my university salary for consulting or other services. The 3 columns represent annual payments < \$10,000, from \$10,000 and \$25,000, and ≥ \$25,000 from this organization.
- (5) I receive royalties in excess of \$10,000 per year from this organization.
- (6) I, or an immediate member of my family, have equity in this company of > \$10,000 or > 1%.